



Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David M. Pepper, et al.) RE: Information Disclosure
) Statement
) Group: Not yet assigned
Serial No.: 10/661,028)
) Examiner: Not yet assigned
Filed: September 11, 2003)
) Our Ref: B-4077 618504-4
For: "OPTICAL RETRO-REFLECTIVE)
APPARATUS") Date: November 11, 2003

Commissioner for Patents
P.O. Box 1450
Alexandria VA, 22313-1450

Sir:

In accordance with the Applicants' duty to disclose information which may be material to the examination of this application, the undersigned respectfully requests that the Examiner consider on the merits the documents listed on the enclosed Form PTO-1449 (modified) before issuing the first Office Action on the merits. We are enclosing herewith a copy of each document listed on the enclosed Form PTO-1449 (modified).

It should be noted that the above-identified application is related by subject matter to the following U.S. Application(s): 10/690,486, filed October 20, 2003. In addition, the present application has claims that may be considered to be similar to the claims in the applications listed above. Pursuant to 37 C.F.R. 1.56(a) and M.P.E.P. 2004, paragraph 9, the applicant brings these co-pending applications to the attention of the Examiner. The Examiner should consider this information during the prosecution of the above-identified application. However, citation of these applications does not constitute an admission that the claims of the present application are substantially similar or similar to those of the applications listed above.

The filing of this Information Disclosure Statement (IDS) shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

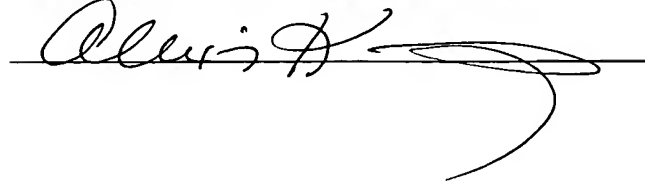
Information Disclosure Statement
USSN 10/661,028
November 11, 2003
Page 2

The Applicants believe that this IDS is being submitted before the issuance of a first Office Action on the merits and before the issuance of a Final Rejection or Notice of Allowance. Therefore, no official fees should be due; and this IDS should be considered on the merits. If this IDS is being submitted after the issuance of the first Office Action on the merits and before the issuance of a Final Rejection or Notice of Allowance, please contact the undersigned to authorize a payment of \$180.00 (or any other required amount), which is the fee set forth in 37 C.F.R. § 1.97(c), if the Examiner believes that such a fee is due in order for this IDS to be considered on the merits.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. (Notice of January 9, 1992, 1135 O.G. 13-25, at 25.)

The person making this statement is the practitioner who signs below on the basis of information supplied by an individual associated with the filing and prosecution of this application (37 C.F.R. § 1.56(c)) and on the basis of information in the practitioner's file.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first-class mail in an envelope addressed to the "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450", on November 11, 2003 by Alexis Karriker.



Respectfully submitted,



Ross A. Schmitt
Attorney for Applicant
Reg. No. 42,529

LADAS & PARRY
5670 Wilshire Boulevard
Suite 2100
Los Angeles, CA 90036
(323) 934-2300

Enclosures: Form PTO-1449 (modified) (1 page)
Copy of documents listed on Form PTO-1449 (modified)

Information Disclosure Statement
 USSN 10/661,028
 November 11, 2003
 Page 3



Form PTO-1449 (Modified)	ATTY DOCKET NO. B-4077 618504-4	U.S. SERIAL NO. 10/661,028
LIST OF PATENTS AND PUBLICATIONS STATEMENT	APPLICANTS David M. Pepper, et al.	
	FILING DATE September 11, 2003	GROUP not yet assigned

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	ISSUE DATE	NAME	CLASS	SUB- CLASS	FILING DATE or 102(e) DATE IF APPROPRIATE
	5,172,262	12/1992	Hornbeck	359	223	
	5,808,797	9/1998	Bloom et al.	359	572	
	6,154,299	11/2000	Gilbreath et al.	359	170	
	6,455,931 B1	9/2002	Hamilton, Jr. et al.	257	723	
	10/690,486		Sayyah et al.			10/20/2003

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Biermann, M.L., et al., "Design and Analysis of a Diffraction-Limited Cat's-Eye Retroreflector," Opt. Eng., Vol. 41, No. 7, pp. 1655-1660 (July 2002).
--

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.